



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/903,047	07/11/2001	Yuri V. Melnik	23600-7006

CONFIRMATION NO. 9410

FORMALITIES LETTER



\*OC000000006489853\*

David G. Beck  
McCutchen, Doyle, Brown & Enersen, LLP  
28th Floor  
Three Embarcadero Center  
San Francisco, CA 94111



Date Mailed: 08/29/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

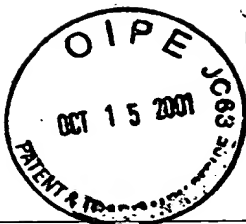
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/17/2001 BNGUYEN1 00000098 501193 09903047

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AA

Section 3

Docket No. 23600-7006

## Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

[ X ] Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

Dated: 10-9-01

Name of Person Certifying:

Pam Pascual

Printed Name: Pam Pascual

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuri V. Melnik, et al.

Assignee: Technologies & Devices  
Int.'s Inc.

Filing Date: July 11, 2001

Examiner: Not Assigned

Serial No.: 09/903,047

Group Art Unit: 1765

Title: **METHOD FOR ACHIEVING LOW DEFECT DENSITY AlGaN SINGLE  
CRYSTAL BOULES**

## BOX MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231

## Submission of Missing Parts of Application Under 37 C.F.R. 1.53

Sir:

In response to the Notice of Missing Parts of Application mailed on August 29, 2001, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration and Power of Attorney [ 3 ] page(s)  
Payment for surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*
- ☐ A Petition for Extension of Time. ( \_ months), [ ] page(s) Fee \$ \_\_\_\_\_
- ☒ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Copy of Notice of Missing Parts of Application dated August 29, 2001.
- ☐ Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.
- ☒ Return Postcard.

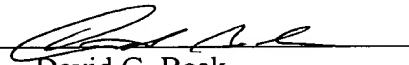
TOTAL FEES: \$65.00

- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☐ Check in the amount of \$ \_\_\_\_\_, Check No. \_\_\_\_\_ to cover the Total Fees due is enclosed.
- ☒ Please charge the above fee(s) to Deposit Account No. 50-1193, Docket No. 23600-7006, in the amount of \$65.00 to cover the above fees. *A duplicate copy of this sheet is attached.*
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1193, Docket No. 23600-7006.  
*A duplicate copy of this sheet is enclosed.*

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: 12/5/01

Respectfully submitted,

By:   
David G. Beck  
Registration No. 37,776

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